

10/049309

Rec'd PCT/PTO 12 FEB 2002

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AUTHORIZED PATENT AND TRADEMARK OFFICE EMPLOYEE**

**PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Hidenobu ITO, et al.

Appln. No. PCT/JP01/01033

Group Art Unit: NOT YET ASSIGNED

Confirmation No.: NOT YET ASSIGNED

Examiner: NOT YET ASSIGNED

Filed: February 12, 2002

For: THRUST CONVERTER AND METHOD APPARATUS FOR CONTROLLING
THRUST CONVERTER

TRANSMITTAL OF PROPRIETARY INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith, in accordance with the provisions of MPEP § 724, is an Information

Disclosure Statement including proprietary information.

Respectfully submitted,

SUGHRUE MION, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3213
Telephone: (202) 293-7060
Facsimile: (202) 293-7860
DM/ob
Date: February 12, 2002

Darryl Mexic Reg. No. 38,557
Darryl Mexic
Registration No. 23,063

#2

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**PROPRIETARY INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98
AND IN ACCORDANCE WITH MPEP § 724**

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the following U.S. Patent applications which the Examiner may deem material to an examination of the above-identified application.

USSN 09/936,332, filed September 12, 2001

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the

merits (whichever is later); or (3) The first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such documents constitute prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

This paper contains proprietary information and is being submitted in accordance with the procedures of MPEP § 724.

Respectfully submitted,

SUGHRUE MION, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3213
Telephone: (202) 293-7060
Facsimile: (202) 293-7860
DM/ob
Date: February 12, 2002

Peter A. M. Urena Reg. No. 38,557
Darryl Mexic
Registration No. 23,063

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of 1

Hidenobu ITO, et al.

Appln. No. PCT/JP01/01033

Confirmation No.: NOT YET ASSIGNED

Group Art Unit: NOT YET ASSIGNED

Filed: February 12, 2002

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For: THRUST CONVERTER AND METHOD APPARATUS FOR CONTROLLING
THRUST CONVERTER

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT
U.S. Appln. No. PCT/JP01/01033
Q68131

2 of 2

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations:

An English language abstract, submitted herewith, constitute a concise statement of relevance for Japanese Patent Publication No. 62-34708.


An English language abstract, submitted herewith, constitute a concise statement of relevance for Japanese Patent Publication No. 6-26511.

An English language abstract, submitted herewith, constitute a concise statement of relevance for Japanese Patent Publication No. 10-138021.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

SUGHRUE MION, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3213
Telephone: (202) 293-7060
Facsimile: (202) 293-7860
DM/ob
Date: February 12, 2002

 Pete A. Mion Reg. No. 38,557
Darryl Mexic
Registration No. 23,063

Substitute for form 1449 A & B/PTO <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>				<div style="border: 1px solid black; padding: 2px;"> <i>Delete if Known</i> </div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>Application Number</td><td>PCT/JP01/01033</td></tr> <tr><td>Confirmation Number</td><td>NOT YET ASSIGNED</td></tr> <tr><td>Filing Date</td><td>February 12, 2002</td></tr> <tr><td>First Named Inventor</td><td>Hidenobu ITO</td></tr> <tr><td>Art Unit</td><td>NOT YET ASSIGNED</td></tr> <tr><td>Examiner Name</td><td>NOT YET ASSIGNED</td></tr> <tr><td>Attorney Docket Number</td><td>Q68131</td></tr> </table>		Application Number	PCT/JP01/01033	Confirmation Number	NOT YET ASSIGNED	Filing Date	February 12, 2002	First Named Inventor	Hidenobu ITO	Art Unit	NOT YET ASSIGNED	Examiner Name	NOT YET ASSIGNED	Attorney Docket Number	Q68131
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Sheet	1	of	1																

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			
		US			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)		
		JP	62-34708		02/14/87	Abstract
		JP	6-26511		02/01/94	Abstract
		JP	10-138021		05/26/98	Abstract

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		U.S.S.N. 09/936,332, filed September 12, 2001	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.